

Notice Pursuant to Bankruptcy Rule 3001

EPIQ BANKRUPTCY SOLUTIONS, LLC

FILED / RECEIVED

AUG 19 2015

*UNITED STATES BANKRUPTCY COURT* SOUTHERN DISTRICT OF NEW YORK

In re:

Lehman Brothers Holdings Inc.

Case No.: <u>08-13555</u> Court ID (Court Use Only)

## NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee:	Name of Transferor:
BSI SA, LUGANO - SWITZERLAND	BANQUE POPULAIRE COTE D'AZUR, Monaco
Notices to Transferee should be sent to:	Court Record Address of the Transferor:
BSISA	(Court use only)
ATTN. MR ANDREA FERRARI / VILLA	
OPERATIONS	
VIA MAGATTI 2	
6900 LUGANO	La La La La La Constantina de la Constantina del Constantina de la Constantina de la Constantina de la Constantina de la Constantina del Constantina de la C
Name and Address where transferee payments should	Name and Current Address of Transferor BANQUE POPULAIRE COTE D'AZUR, Monaco
be sent (if different from above):	3/9 Bld des Moulins – 98000 MONACO
	7/9 Bid des Modifis = 98888 MOTARES Tel : 00 377 92 16 57 57
CITIBANK NY / SWIFT CITIUS 33	Tel: 00 3// 92 10 5/ 5/
ACCOUNT 10938561	- 2
In the name of BSI SA	
Amount of claim being transferred	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM
EUR 15.000 (face amount of securities	ONLY SEE ATTACHED EVIDENCE OF TRANSFER
EUR 15.000 (face afficient of secondes	FOR DETAILS
Court Claim No. (if known): 37179	
Court Claim No. (it known). 37-79	
Date Claim Filed: October 09, 2009	
Date Claim rica. October 537 === 5	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief. \_31.07.2015

Ву:

pp A. Ferrari

BSI SA Lugano pm M. Irak

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. № 152 &3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).



## **EVIDENCE OF TRANSFER OF CLAIM**



TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy

Court")

One Bowling Green

New York, New York 10004 Attention: Clerk of the Court

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

Proof of Claim Number: 37179 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates <u>solely</u> to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
5 1/8% Lehman Bros UK Cap Funding II LP perpetual	XS0229269856	Lehman bros UK Capital Funding II L	Lehman brother Holding Inc	EUR 15.000 out of EUR 662.000

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on pages \_\_8\_\_ and in paragraphs/lines \_29\_\_\_ of the Addendum to the Proof of Claim.

BANQUE POPULAIRE COTE D'AZUR, MONACO , ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

BSI SA, LUGANO VIA MAGATTI 2 6900 LUGANO

("<u>Transferee</u>") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor. Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated \_o4<sup>TH</sup> August 2015.

BANQUE POPULAIRE COTE D'AZUR, Monaco

Transferor

Bv:

, y -0 0

Name: Serge CPG

Title: Birector

Banque Populaire Côte d'Azur SUCCURSALE DE MONACO MONTE-CARLO - 3-9, Bd des Moulins B.P. 207 - 98004 MONACO CEDEX Tél. +377 92 16 57 57 Fex +377 92 16 57 50

RCI 00 8 03761 NIS 8419209214

By:

Name: Michel PROST-DUMONT

Title: Associate Director

**BSI SA** Lugano

**ACKNOWLEDGED BY:** 

Transferee

By: \_\_\_\_\_ Name:

7701110

Title: pp A. Ferrari

om M. Irak





To:

United States Bankruptcy Court Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC 757 Third Avenue, 3rd Floor New York, NY10017 (USA)

BY DHL

Lugano

August 18, 2015

Notice of transfer, from BANQUE POPULAIRE COTE D'AZUR (the "Transferor") to BSI SA on behalf of its client (the "Transferee"), of securities issued by Lehman Brothers Treasury B.V. (the "Securities") and claim n. 37179 attached thereto (the "Claim")

Dear Sirs,

reference is made to the transfer of the above mentioned Securities and part of the Claim to our bank as nominee on behalf of its client/s (the "Transfer").

In order to duly notify you of the Transfer, please find here attached the following documents, so that you can kindly proceed and amend the Claim Register accordingly:

- 1) Form 210/A, signed by our bank as Transferee on behalf of its client;
- 2) "Evidence of Transfer of Claim", signed by BANQUE POPULAIRE COTE D'AZURE, MONACO as Transferor, as proof that BANQUE POPULAIRE COTE D'AZURE has partially transferred a registered claim to BSI SA on behalf of its client/s.

Given the importance of this Notice in the interests of our client/s, We would also appreciate if you can advise us immediately if something (e.g. information, document, etc.) is missing by sending a message to the following e-mail address: group.bsicustodyadministration@bsibank.com

Thank you very much in advance for your kind help and attention.

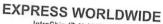
Yours faithfully,

**BSISA** 

pp S. Mattea

pm S. Andjelic

ended	0.5 kg	1 kg	2 kg	5 kg	10 kg	15 kg	20 kg	25 kg
Recommended weight	1 = 0.	2=	3=	4=	5= 1	6= 1	7 = 2	8 = 2



IntraShip (7.1) / \*17-1409\*



From BSI SA . +4191/8093111 VIA FRANSCINI 8 6900 LUGANO CH SWITZERLAND

Origin LUG

Phone:

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK LEHMAN BROTHERS CLAIMS C/O EPIQ BANKRUPTCY SOLUTIONS LLC 757 THIRD AVENUE 3RD FLOOR 10017 NEW YORK NEW YORK US UNITED STATES OF AMERICA

## JFK US-ZYP-TSS

Ref code 2010009-93546-81749 Piece Weight: 0.5 kg Piece Account No 150044796 Pickup date: 2015-0F-18 1/1

Content / Commerce Control Statement / RC

DOCUMENT Incoterm : DAP Customs Value : 0.00 OHF Imp/Exp Type : permanent IV : 0.00 OHF Imp/Exp Type :

WAYBILL 20 6854 7412



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